



# SDG Webinar for the Arab Region: SDG 12.4.1 (Minamata Convention)

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Thursday, 12 May 2022, 13h00-16h00 (Beirut Time)

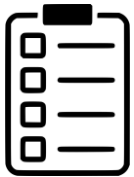
# Overview of the Presentation



**1. Article 21: Reporting obligation for all Parties**



**2. The Minamata National Report – Short and Full Formats**



**3. Introduction to the Online Reporting Tool**

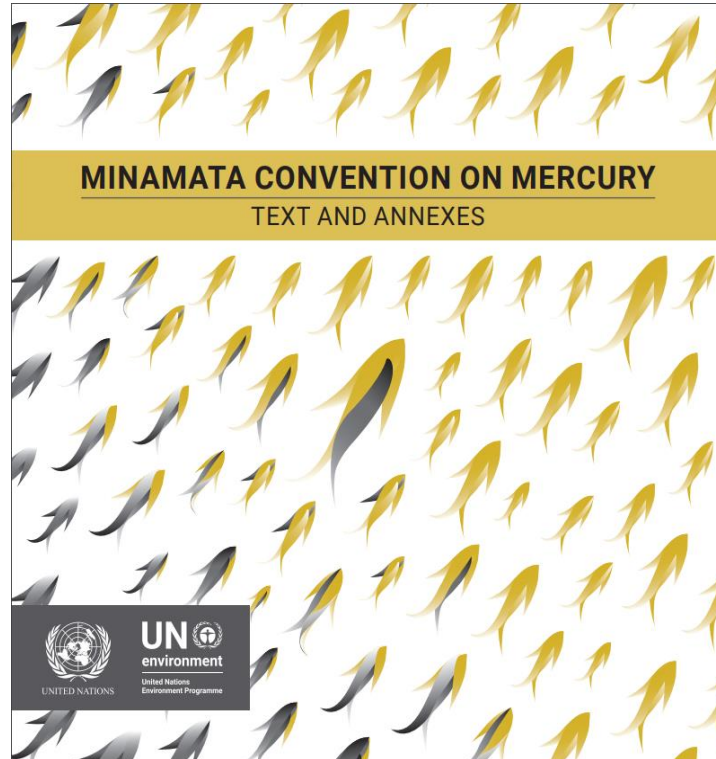


**4. National Focal Points**

# 1. Article 21: Reporting



# Article 21: Reporting



- ▶ Paragraph 1 of article 21 of the Minamata Convention on Mercury provides that **each party shall report to the Conference of the Parties, through the Secretariat**, on the **measures** taken to implement the provisions of the Convention and on the **effectiveness** of such measures and the possible **challenges** in meeting the objective of the Convention.
- ▶ Decision MC-1/8 Agreed on the **full format of reporting** and decided that each Party shall report every **four years** using the full format and report every **two years** on four questions marked by an asterisk in the full format.

# Reporting Periods

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- ▶ The Conference of the Parties decided that each party shall submit the **first short reports** (i.e. the responses to questions in the format marked by an asterisk) **by 31 December 2019**, for consideration by the Conference of the Parties at its subsequent meeting.
- ▶ **For the first full reports the reporting period covered 16 August 2017 until 31 December 2020.**
- ▶ The **cycle** will then be repeated, with the subsequent short reports covering 1 January 2021 to 31 December 2022, for submittal before 31 December 2023, and the subsequent full reports covering 1 January 2021 to 31 December 2024, for submittal before 31 December 2025, and so on.
  - Deadline for the first short national report: 31 December 2019
  - Deadline for the first full national report: 31 December 2021
  - **Deadline for the second short national report: 31 December 2023**
    - *Period Covered 1 Jan. 2021 to 31 Dec. 2022*



# Decision MC-1/8 Reporting Format Overview

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- ▶ The **full format contains 43 questions** to be answered by all parties every four years, while the short report contains four questions (indicated by an asterisk in the full format) to be answered every two years.
- ▶ The full format consists of five parts:
  - ▶ **Part A:** requests **information about the party** and respondent
  - ▶ **Part B:** contains **43 substantive questions**
  - ▶ **Part C:** provides an opportunity for parties to comment on **possible challenges** in meeting the objectives of the Convention
  - ▶ **Part D:** provides an opportunity for parties to **comment on the reporting format** and possible improvements
  - ▶ **Part E:** provides an opportunity to add **comments on each of the articles in free text** if the party chooses to do so.

# Decision MC-1/8 Reporting Format: Part B

**Article 3** Mercury supply sources and trade

**Article 4** Mercury-added products

**Article 5** Manufacturing processes in which mercury or mercury compounds are used

**Article 7** Artisanal and small-scale gold mining

**Article 8** Emissions

**Article 9** Releases

**Article 10** Environmentally sound interim storage of mercury, other than waste mercury

**Article 11** Mercury wastes

**Article 12** Contaminated sites

☒

**Article 13** Financial resources and mechanism

**Article 14** Capacity-building, technical assistance and technology transfer

**Article 16** Health aspects

**Article 17** Information exchange

**Article 18** Public information, awareness and education

**Article 19** Research, development and monitoring

# Decision MC-1/8 Reporting Format: Short Report

| Part B: Information taken to implement relevant provisions and effectiveness   |  | Guidance         |
|--|--|------------------|
| <p><u>Art. 3: Mercury supply sources and trade</u><br/>*Q1 (c)</p> <p>Does the Party have any <b>primary mines</b> that were operating within its territory at the date of entry into force of the Convention for the Party?</p> <p>If yes, indicate the total amount mined in metric tons per year.</p>   | <p><u>Art. 3: Mercury supply sources and trade</u><br/>*Q3 (a)</p> <p>Has the Party endeavoured to identify <b>individual stocks</b> of mercury or mercury compounds <b>exceeding 50 metric tons</b> and <b>sources of mercury supply</b> generating stocks <b>exceeding 10 metric tons</b> per year that are located within its territory?</p> <p>If yes, please attach results, and any other related information.</p> |                  |
| <p><u>Art. 3: Mercury supply sources and trade</u><br/>*Q5</p> <p>Has the Party received <b>consent</b>, or relied on a <b>general notification</b> of consent, in accordance with Art. 3, including any required certification from importing non-parties, for all <b>exports of mercury</b> from the Party's territory in the reporting period.</p> <p>If yes, please provide copies of consent forms if not yet done. Please provide information on the use of the exported mercury.</p> <p>And, if exports were based on general notifications please indicate the total amount exported, and any relevant terms or conditions related to its use.</p> | <p><u>Art. 11: Mercury wastes</u><br/>*Q2</p> <p>Are there <b>facilities for final disposal of waste</b> consisting of mercury or mercury compounds in the Party's territory?</p> <p>If yes, and if available, <b>how much waste</b> consisting of mercury or mercury compounds has been subject to final disposal under the reporting period. Please specify <b>method of the final disposal</b> operations.</p>        | Forms & Guidance |



# Decision MC-3/13 Guidance for completing the national reporting format

- ▶ The Conference of the Parties recognized the **need for complete and consistent national reporting** to provide information for the **effectiveness evaluation** and for supporting **compliance** and requested the Secretariat to prepare draft guidance on the full national reporting format to clarify the information being sought.
- ▶ In the same decision, the Conference of the Parties also **encouraged parties to use the draft guidance** on a provisional basis to assist **in preparing their full national reports** due by 31 December 2021.
- ▶ The draft reporting guidance was submitted to COP-4 as document **UNEP/MC/COP.4/17**.
- ▶ The draft reporting guidance consists of three sections:
  - ▶ **Section I:** *Outlines the reporting **obligations** under the Minamata Convention according to Article 21*
  - ▶ **Section II:** *Gives an **overview** of the draft guidance for completing the national reporting format*
  - ▶ **Section III:** *Sets out **question by question** some **notes** and a **suggested approach** to complete the reporting format for the Minamata Convention.*

The draft guidance is not intended to be **manual** on how to implement the articles and obligations of the Convention, but to guide Parties for the **collection and collation of information needed to complete parts A to E**.

# Instructions on part B

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- ▶ **Mandatory information forms the core of the reporting format.**
- ▶ A limited number of questions are labelled “**supplemental**”. These questions provide additional information that would facilitate the evaluation of the effectiveness of the Convention. Responses to these questions can be provided **voluntarily** at the party’s discretion, **but parties are strongly encouraged** to complete these where they have relevant information.
- ▶ Description of the effectiveness of the implementing measures should be provided **based on a party’s particular situation and capabilities** but should nonetheless be as consistent as possible in the party’s report.

In the **online reporting tool** you will need to reply to all mandatory questions to be able to **submit** the report.

## COP4.2: Clarifications on the Reporting Format MC/4-8

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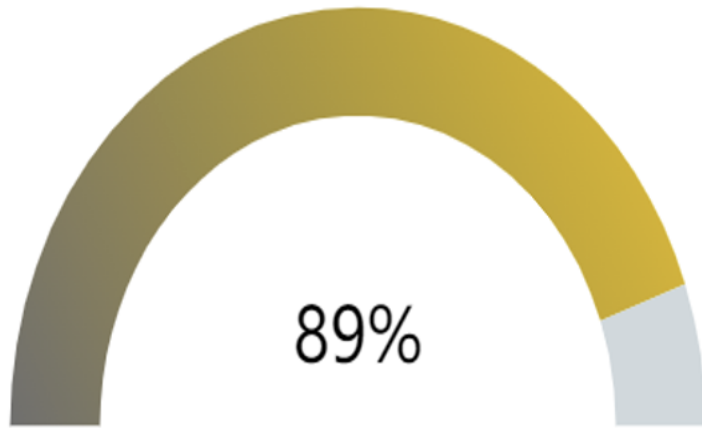
- ▶ Question 3.1, *“the basis for reporting the “total amount” is the total amount of mercury mined. For clarity, the words “of mercury” are to be inserted between “amount” and “mined” in subparagraph (c).”*
- ▶ Question 3.5, “to allow parties to indicate that they did not export mercury, an additional box is to be added below the existing “No” to allow parties to indicate “No, no export”.”
- ▶ Question 11.2, “parties may look for information on how to define “final disposal” and how they might find national facilities using techniques for final disposal in the Basel Convention technical guidelines, in national laws or regulations, in national policy and administrative statements, in their Minamata initial assessment, or in an implementation plan developed pursuant to article 20 of the Convention...”

## 2. The Short National Reports

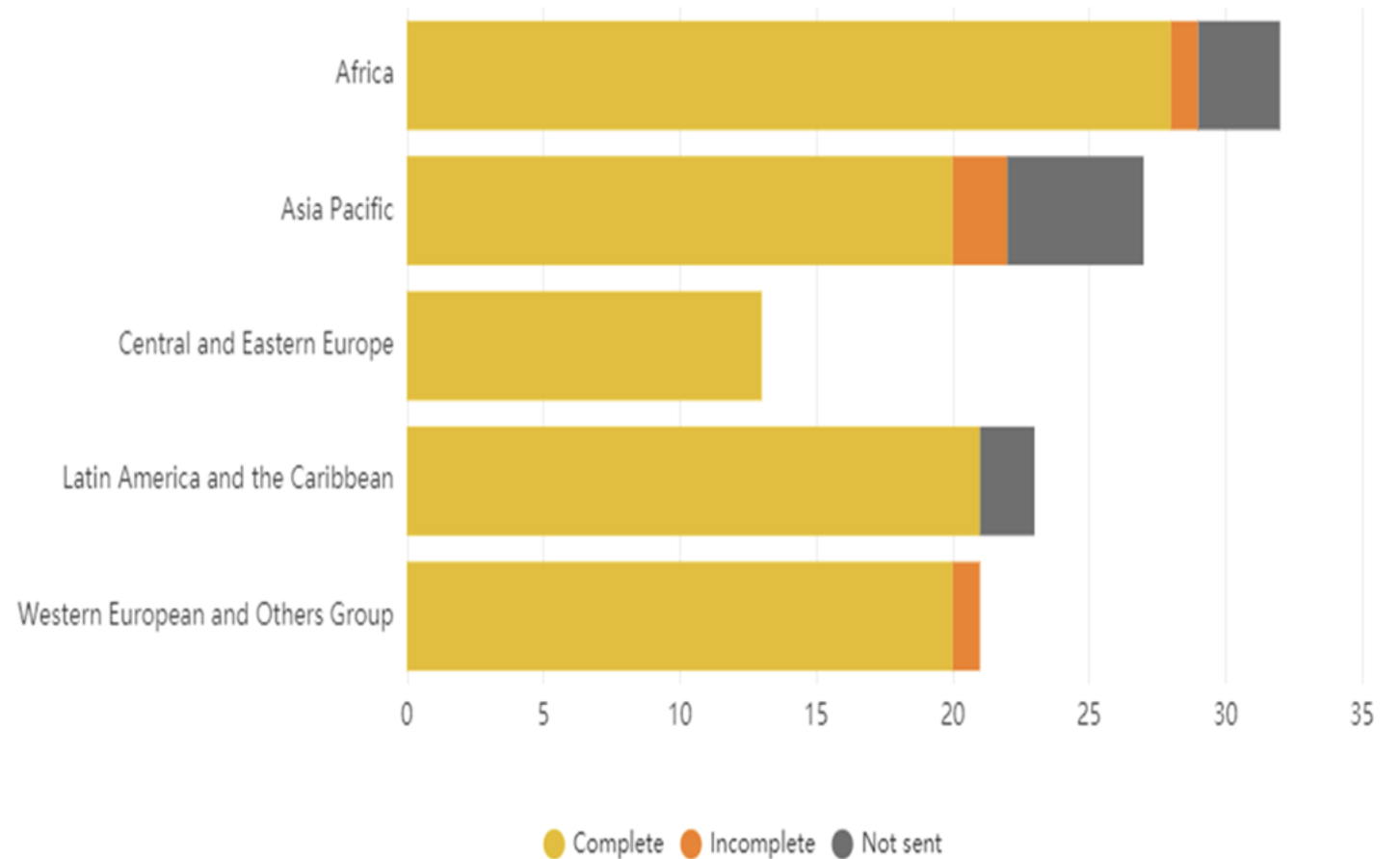


# Reporting Performance: First Short National Reports

## Reporting Rate (30/06/2021)

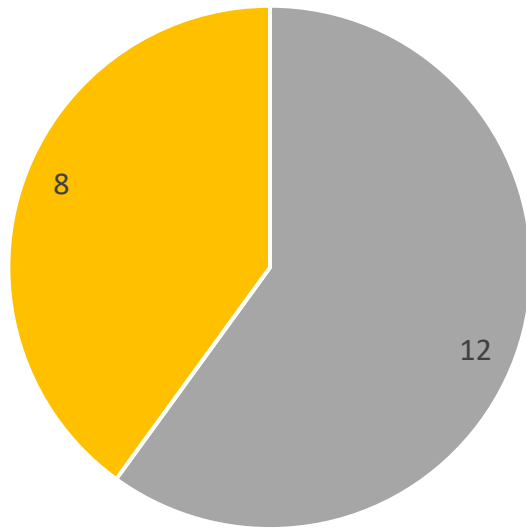


## Reports by region (30/06/2021)



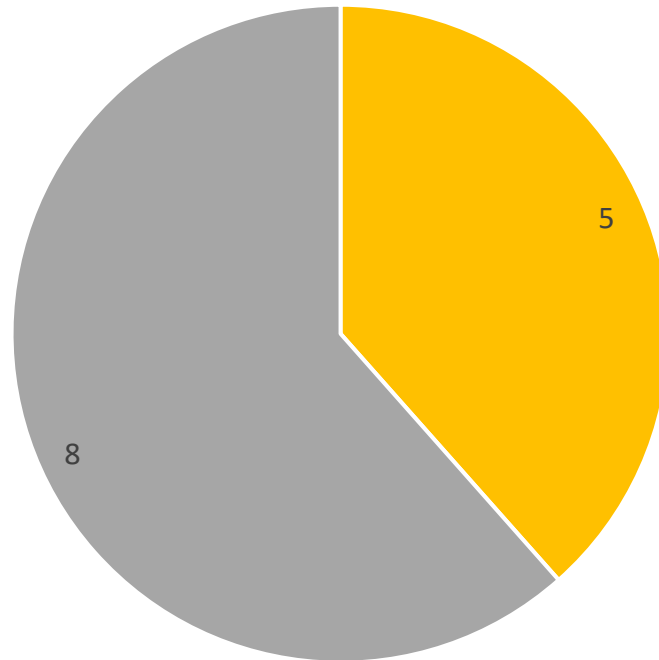
# Reporting Performance: First Short National Reports

ECSWA Members Parties to Minamata  
Convention



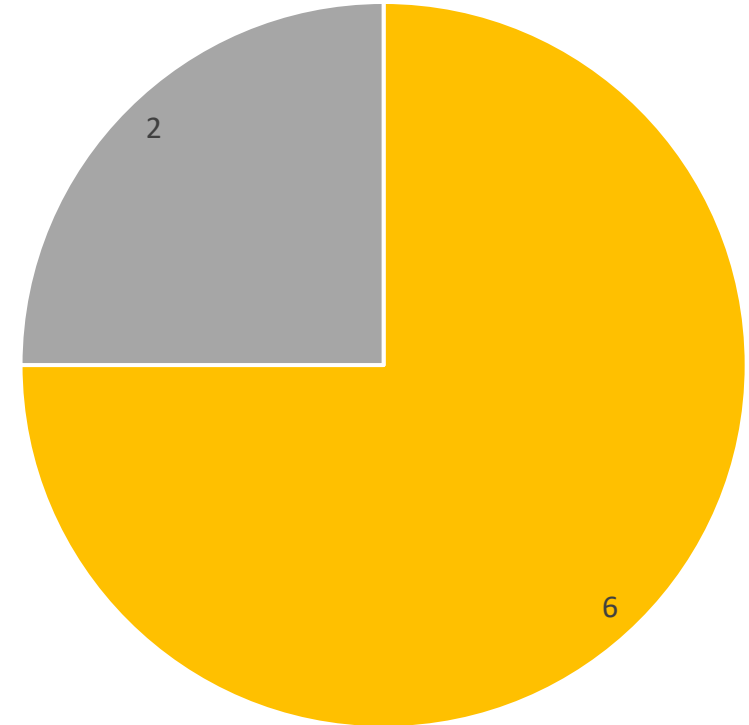
■ Party ■ Non-Party

Submissions FNR



■ Submitted ■ Not Submitted

Submissions SNR



■ Submitted ■ Not Submitted

# Responses to Question 3.1

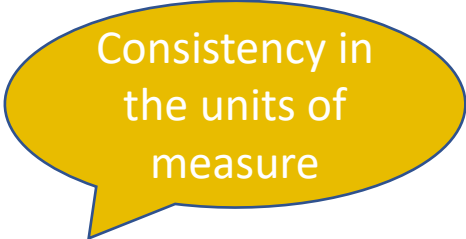
**Question 3.1:** Does the party have any primary mercury mines that were operating within its territory at the date of entry into force of the Convention for the party? (Para. 3.)

Yes

No

If yes, please indicate:

(c) \*Total amount mined \_\_\_\_\_ metric tons per year



Consistency in  
the units of  
measure

15. In terms of parties' responses to question 3.1:

(a) Two parties responded "yes", i.e., that they had primary mercury mines that were operating within their territory at the date of entry into force of the Convention for them.

(b) One hundred parties replied "no", i.e., that they did not have primary mercury mines that were operating within their territory at the date of entry into force of the Convention for them.

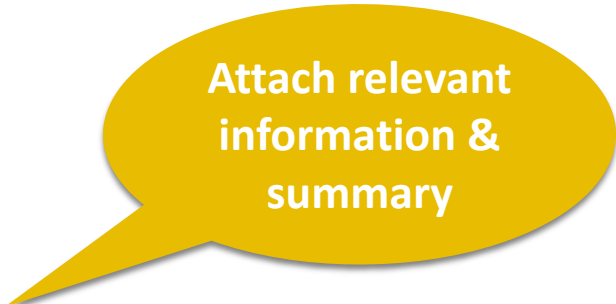
**Question 3.3:** Has the Party endeavoured to identify individual stocks of mercury or mercury compounds exceeding 50 metric tons, and sources of mercury supply generating stocks exceeding 10 metric tons per year that are located within its territory? (para. 5.)

Yes

No

a) \*If the Party answered Yes to Question 3.3 above:

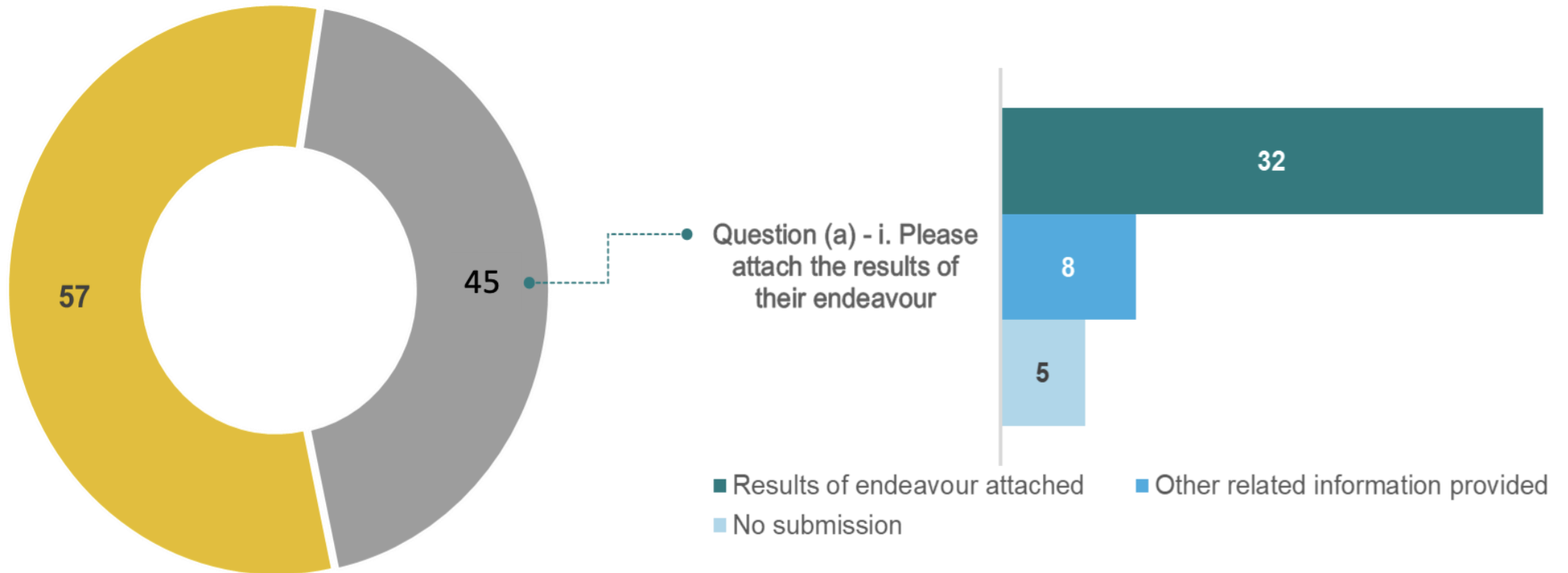
- i. Please attach the results of your endeavour or indicate where it is available on the internet, unless unchanged from a previous reporting round.
- ii. Supplemental: Please provide any related information, for example on the use or disposal of mercury from such stocks and sources.



Attach relevant  
information &  
summary



# Responses to Question 3.3



■ Parties responded "yes" ■ Parties responded "no"



See, “**Guidance on the identification of individual stocks of mercury or mercury compounds exceeding 50 metric tons and sources of mercury supply generating stocks exceeding 10 metric tons per year**”

[https://www.mercuryconvention.org/sites/default/files/documents/forms\\_and\\_guidance\\_document/Guidance\\_Stocks\\_Article3\\_en.pdf](https://www.mercuryconvention.org/sites/default/files/documents/forms_and_guidance_document/Guidance_Stocks_Article3_en.pdf)

**Question 3.5:** \*Has the party received consent, or relied on a general notification of consent, in accordance with article 3, including any required certification from importing non-parties, for all exports of mercury from the party's territory in the reporting period. (Para. 6, para. 7.)

- Yes, exports to parties
- Yes, exports to non-parties
- No

**If yes,**

(a) and the party has submitted copies of the consent forms to the secretariat, then no further information is needed.

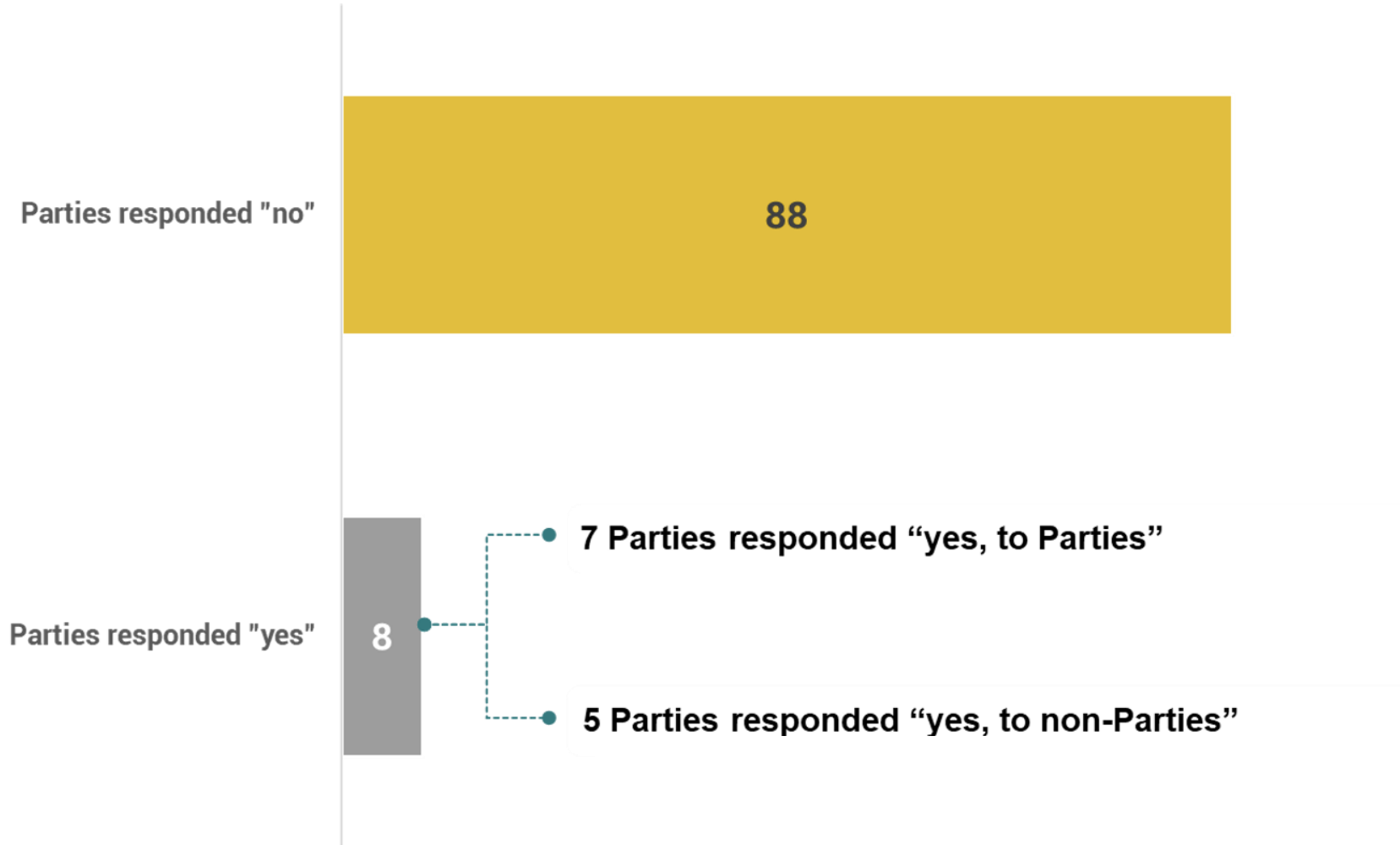
If the party has not previously provided such copies, it is recommended that it do so.

Otherwise, please provide other suitable information showing that the relevant requirements of paragraph 6 of article 3 have been met.

Supplemental: Please provide information on the use of the exported mercury.

(b) If exports were based on a general notification in accordance with article 3, paragraph 7, please indicate, if available, the total amount exported and any relevant terms or conditions in the general notification related to use.

# Responses to Question 3.5





See *Forms Related to Art. 3 on Mercury Trade* at :

<https://www.mercuryconvention.org/en/documents/forms-related-article-3-mercury-trade>

The forms referred to in question 3.5 (a) and (b) are the forms adopted by the Conference of the Parties at its first meeting that may be used by parties and non-parties for providing consent for trade in mercury under article 3, namely:

- (i) **Form A:** Form for the provision of written consent by a party to the import of mercury;
- (ii) **Form B:** Form for the provision of written consent by a non-party to the import of mercury;
- (iii) **Form D:** Form for general notification of consent to import mercury.

The list of parties to the Convention is available on the Convention website, as is the list of designated national focal points.

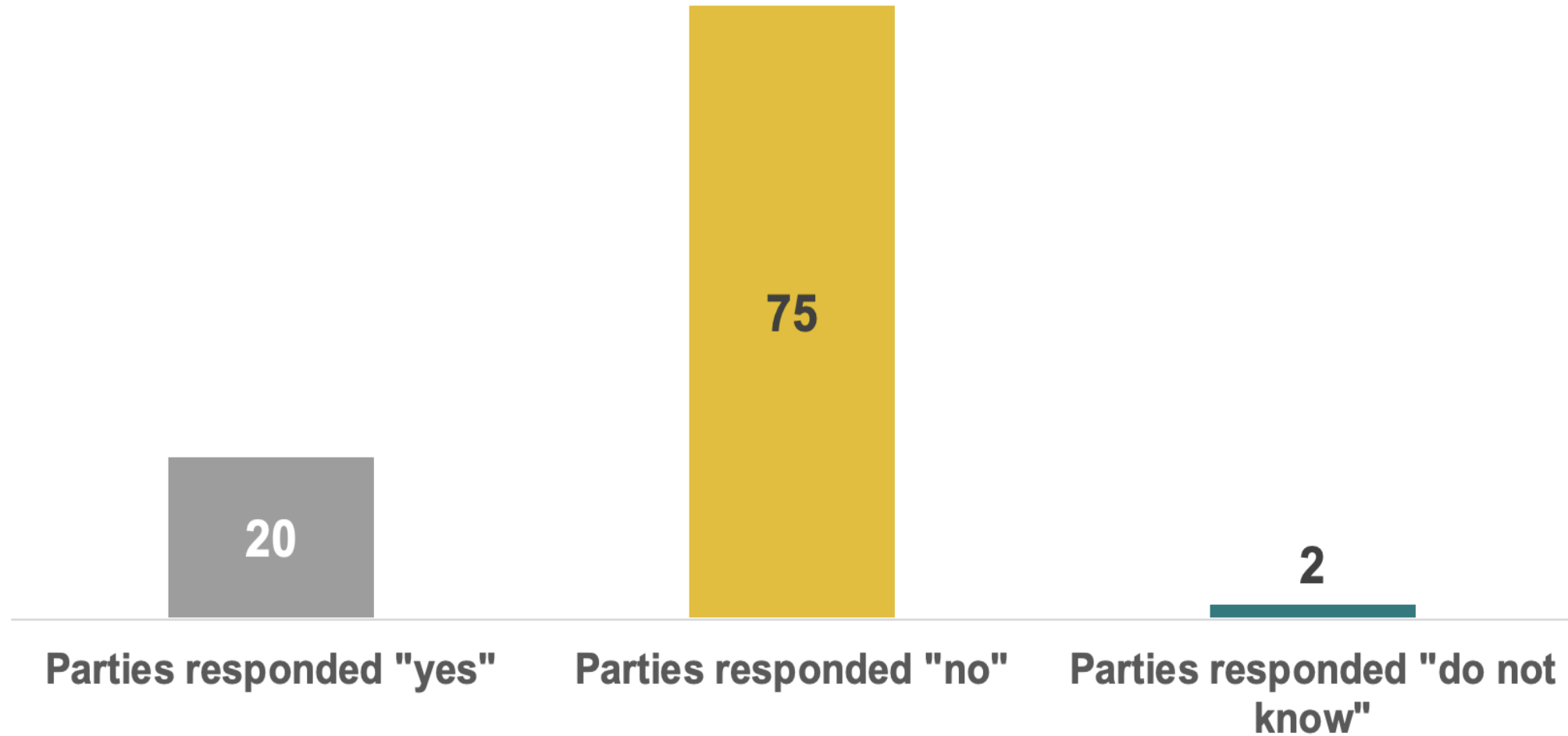
# Responses to Question 11.2

**Question 11.2:** \*Are there facilities for final disposal of waste consisting of mercury or mercury compounds in the party's territory?

- Yes
- No
- Do not know (*please explain*)

If yes, if the information is available, how much waste consisting of mercury or mercury compounds has been subjected to final disposal under the reporting period?  
Please specify the method of the final disposal operation/operations.

# Responses to Question 11.2



# Insights to Question 11.2



*“Pending adoption of an annex, parties can report on facilities that are using the techniques outlined in the **Basel Convention’s technical guidelines on the environmentally sound management of wastes consisting of, containing or contaminated with mercury or mercury**,” available at <http://www.mercuryconvention.org/Convention/Formsandguidance/tabid/5527/language/en-US/Default.aspx>.*

Information on facilities for final disposal of mercury or mercury compounds could be **found in reporting under national laws** governing hazardous waste management and hazardous substance control, from the **development of a Minamata Initial Assessment** or in an **implementation plan developed pursuant to article 20 of the Convention**. It should be noted that the question seeks the identification of facilities. (MC/COP.4/17)



# Responses to Part C, Part D and Part E of the reporting format

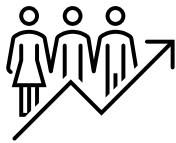
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- ▶ Part C - 50 parties provided some responses.
- ▶ Part D - 24 parties recorded responses here.
- ▶ Part E - 24 parties used the opportunity to provide comments.





- ▶ 89% reporting rate for the short national reports is encouraging; something to build on for future reporting.



- ▶ Parties are actively engaged: some submitted before they were officially parties; utilization of Parts C, D, and E to elaborate on answers or results.

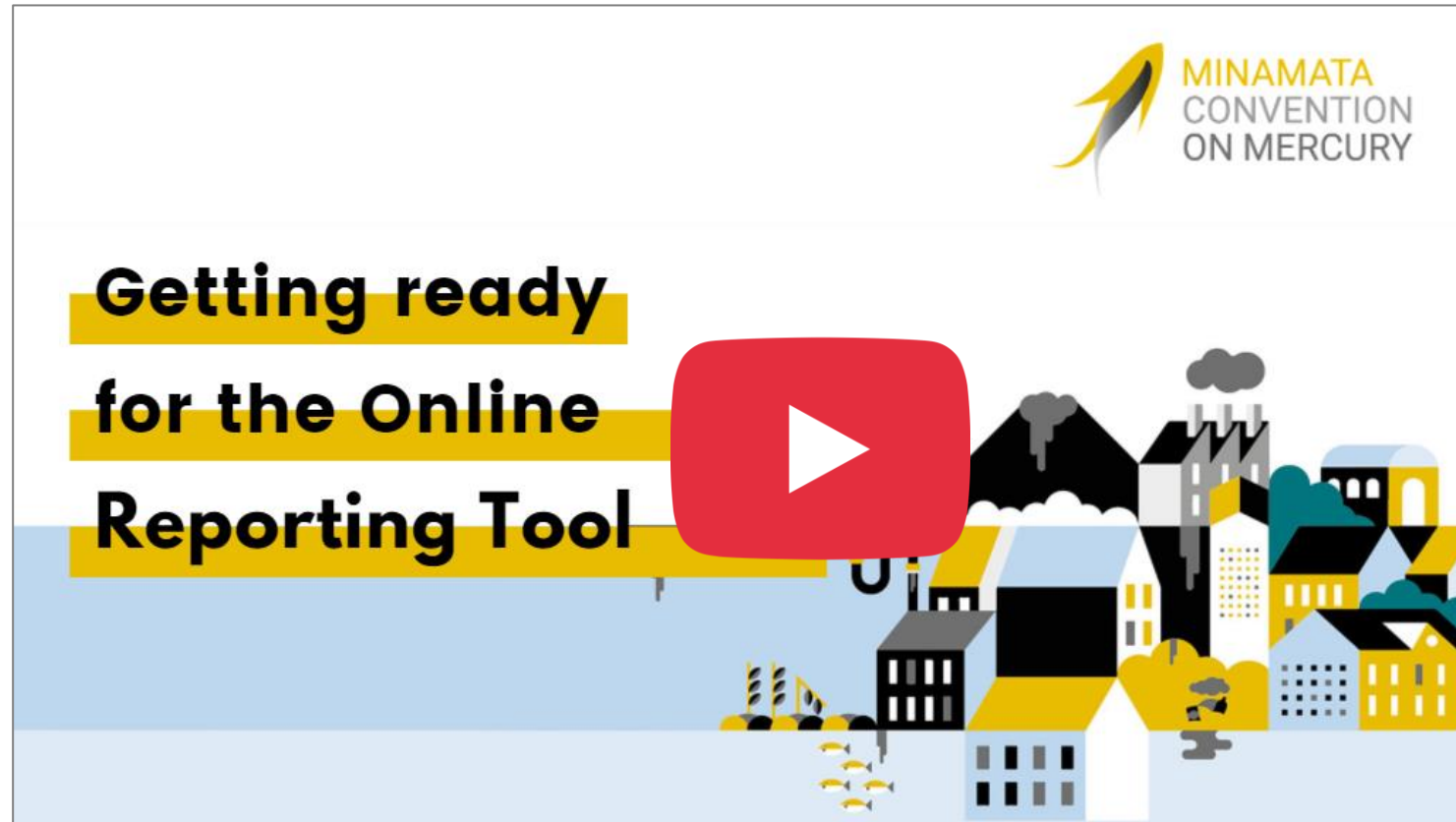


- ▶ Positive feedback on the new online reporting tool.

# 3. Introduction to the online reporting tool



# Getting ready for the Online Reporting Tool



# 4. National Focal Point



# National Focal Points database on the Convention website

## ▶ National Focal Points webpage

## National Focal Points

Share

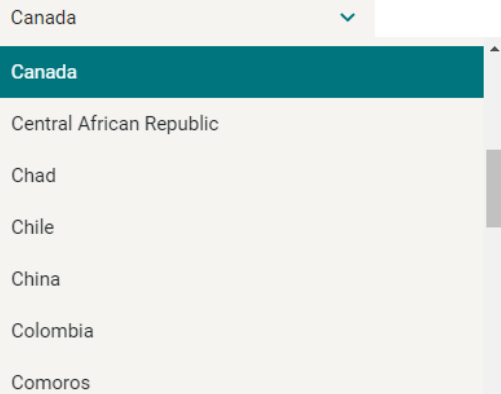
The form and the sample letter for the designation of National Focal Points are available on the website.

The Convention requires, under its article 17, paragraph 4, that each Party designates a National Focal Point for the exchange of information under it, including with regard to the consent of importing Parties under Article 3. States or regional economic integration organizations that have not yet done so are invited to proceed with such designation as soon as possible using the form and the sample letter attached [here](#).

The official nominations submitted by Parties to the Secretariat are available on the page [Notifications](#).

The list below also includes National Focal Points designated by non-Parties.

Select a country in the drop down menu



Canada ▾

- Canada
- Central African Republic
- Chad
- Chile
- China
- Colombia
- Comoros

Information of the **designated National Focal Points** can be found in the drop-down menu.

### Canada

Ms. Alison Dickson

TITLE Manager  
INSTITUTION Environment and Climate Change Canada  
ADDRESS 351 St. Joseph Blvd. Gatineau, QC

) 938-4304  
a.dickson@canada.ca

# National Focal Points: Form and Model Letter

## ANNEX I - FORM FOR THE DESIGNATION OF THE NATIONAL FOCAL POINT UNDER THE MINAMATA CONVENTION ON MERCURY

|  |                                      |                 |            |
|--|--------------------------------------|-----------------|------------|
| STATE/ORGANIZATION:  |                                      |                 |            |
| Please tick as appropriate:  |                                      |                 |            |
| <input type="checkbox"/> First-time nomination   |                                      |                 |            |
| <input type="checkbox"/> Replacement of current National Focal Point (s) (indicate name(s)):           |                                      |                 |            |
| <input type="checkbox"/> Additional nomination to current National Focal Point (s) (indicate name(s)): |                                      |                 |            |
| NATIONAL FOCAL POINT:  |                                      |                 |            |
| Mr. <input type="checkbox"/>   | Ms. <input type="checkbox"/>         | FIRST NAME:     | LAST NAME: |
| FUNCTIONAL TITLE:  |                                      |                 |            |
| SECTION/DEPARTMENT:  |                                      |                 |            |
| ORGANIZATION/INSTITUTION:  |                                      |                 |            |
| ADDRESS:   |                                      |                 |            |
| POST CODE:   | CITY:                                | COUNTRY:        |            |
| TELEPHONE (include country and city code):   | FAX (include country and city code): | E-MAIL ADDRESS: |            |
| NOTE:  |                                      |                 |            |

|  |                                      |                 |            |
|--|--------------------------------------|-----------------|------------|
| THIS NOTIFICATION HAS BEEN SUBMITTED BY:   |                                      |                 |            |
| Mr. <input type="checkbox"/>               | Ms. <input type="checkbox"/>         | FIRST NAME:     | LAST NAME: |
| FUNCTIONAL TITLE:                          |                                      |                 |            |
| SECTION/DEPARTMENT:                        |                                      |                 |            |
| ORGANIZATION/INSTITUTION:                  |                                      |                 |            |
| ADDRESS:                                   |                                      |                 |            |
| POST CODE:                                 | CITY:                                | COUNTRY:        |            |
| TELEPHONE (include country and city code): | FAX (include country and city code): | E-MAIL ADDRESS: |            |
| DATE AND SIGNATURE:                        |                                      |                 |            |

States/organizations who require to nominate more than one focal point are invited to submit one form for each designated individual and to specify the responsibilities of each focal point in the note section.

## ANNEX II – MODEL LETTER FOR THE OFFICIAL NOMINATION

*[Letterhead of relevant Ministry/Institution]*

The Executive Secretary  
Secretariat of the Minamata Convention on Mercury  
United Nations Environment Programme  
Postal address: Avenue de la Paix 8-14, 1211 Geneva 10, Switzerland  
E-mail: mea-minamatasecretariat@un.org

*[Date]*

**Subject:** Designation of the National Focal Point to the Minamata Convention on Mercury for *[name of State/organization]*

Dear Sir/Madam,

*[Name of State/organization]* hereby notifies the Secretariat of the Minamata Convention on Mercury that it has designated, in accordance with Article 17, paragraph 4 of the Minamata Convention on Mercury, *[Name of individual designated as National Focal Point, function, institution]* to act as National Focal Point for the exchange of information under the Convention.

You will find attached to the present letter the completed designation form pursuant to *[her/his]* nomination.

Yours faithfully,

*[Name and signature of an entity duly authorized to communicate such information to the Secretariat of the Minamata Convention on Mercury, i.e. the Government official contact point to the UN Environment Programme, the Ministry of Foreign Affairs or the Permanent Mission to the UN in Geneva or to the UN Environment Programme.]*

This form should be completed and returned under cover of the official nomination letter from:

- (i) the Government official contact point to the UN Environment Programme,
- (ii) the Ministry of Foreign Affairs, or
- (iii) the Permanent Mission to the UN in Geneva or to the UN Environment Programme to the attention of:

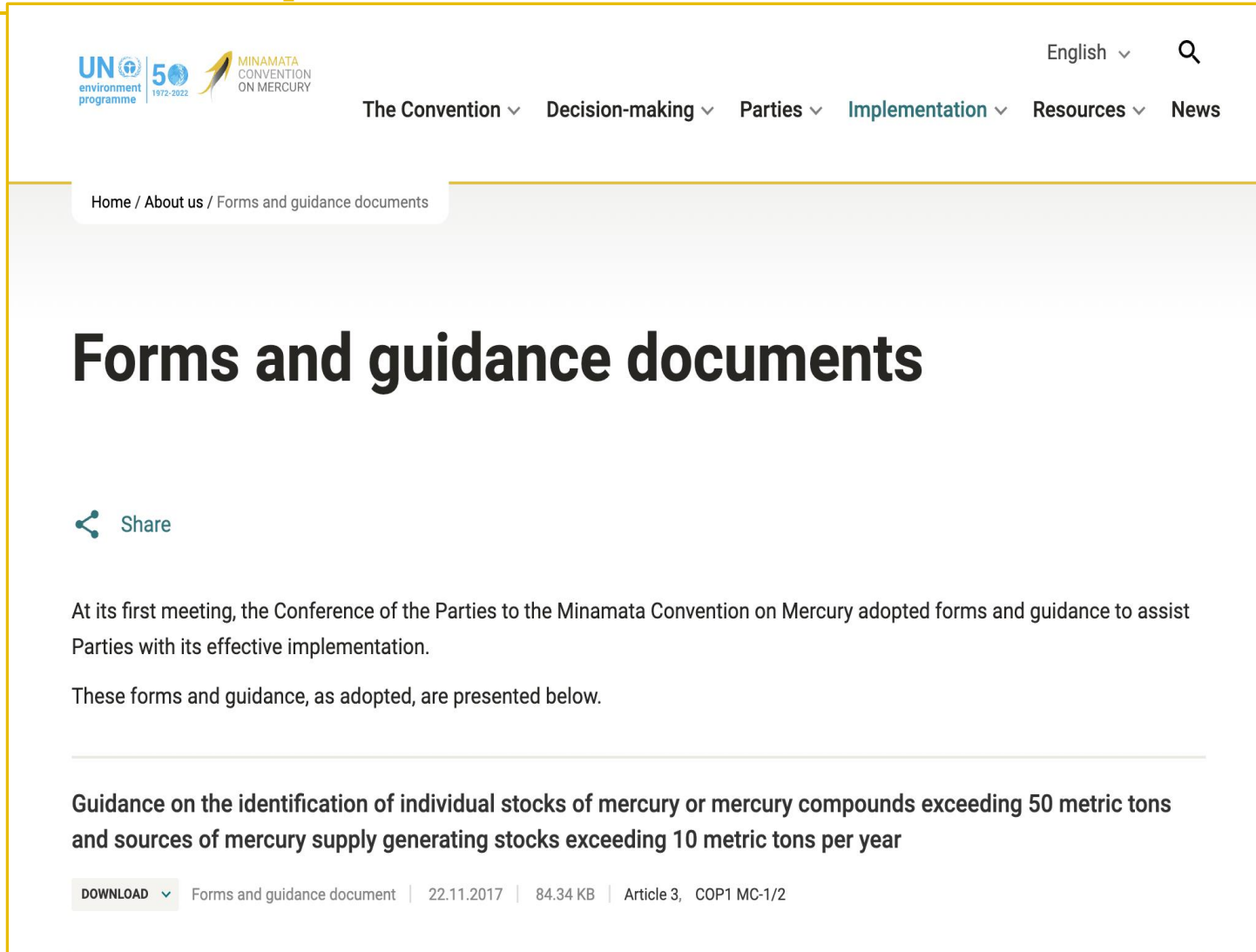
### The Executive Secretary

Secretariat of the Minamata Convention on Mercury  
United Nations Environment Programme  
Postal address: Avenue de la Paix 8-14, 1211 Geneva 10, Switzerland  
E-mail: [mea-minamatasecretariat@un.org](mailto:mea-minamatasecretariat@un.org)

► **Kindly note that self-nominations can not be considered.**

► Should you have any questions on the designation of NFP, please contact us at [mea-minamatasecretariat@un.org](mailto:mea-minamatasecretariat@un.org)

# Full Reporting Format: Reporting Guidance, and COP-adopted Guidance and Forms



The screenshot shows the website's navigation menu with 'Implementation' highlighted. The breadcrumb trail is 'Home / About us / Forms and guidance documents'. The main heading is 'Forms and guidance documents'. Below it is a 'Share' button. The text states: 'At its first meeting, the Conference of the Parties to the Minamata Convention on Mercury adopted forms and guidance to assist Parties with its effective implementation. These forms and guidance, as adopted, are presented below.' A horizontal line separates this text from the document title: 'Guidance on the identification of individual stocks of mercury or mercury compounds exceeding 50 metric tons and sources of mercury supply generating stocks exceeding 10 metric tons per year'. At the bottom, there is a 'DOWNLOAD' button and a table with document details.

UN environment programme | 50 1972-2022 | MINAMATA CONVENTION ON MERCURY

English 🔽 🔍

The Convention 🔽 Decision-making 🔽 Parties 🔽 **Implementation** 🔽 Resources 🔽 News

Home / About us / Forms and guidance documents

## Forms and guidance documents

🔗 Share

At its first meeting, the Conference of the Parties to the Minamata Convention on Mercury adopted forms and guidance to assist Parties with its effective implementation.

These forms and guidance, as adopted, are presented below.

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**Guidance on the identification of individual stocks of mercury or mercury compounds exceeding 50 metric tons and sources of mercury supply generating stocks exceeding 10 metric tons per year**

DOWNLOAD 🔽 Forms and guidance document | 22.11.2017 | 84.34 KB | Article 3, COP1 MC-1/2

- ▶ The **online reporting tool** will include links to the **draft reporting guidance**.
- ▶ Relevant **forms and guidance documents** for completing the reports are available on our [website](#).



# More information to support Parties

## More information to support Parties: Minamata Online 2

7 Sep: Getting prepared for Full National Reports


14 + 16 Sep: Reporting guidance and online reporting tool: Part I + II

22 Nov: 40 Days to go on National Reports: Check-in on any questions



### SEPTEMBER

WED 1 SEP

13h00-14h30   
Information session on the proposals to amend Annexes A and B of the Convention

TUE 7 SEP


13h00-14h00   
Getting prepared for full National Reports

TUE 14 + THU 16 SEP

13h00-14h00   
Reporting guidance and online reporting tool: Part I + II

### OCTOBER

MON 4 OCT

11h00-15h30   
Regional Preparatory Meeting: Africa (CLOSED)

FRI 8 OCT

14h00-21h00   
Regional Preparatory Meeting: Latin America and the Caribbean (CLOSED)

MON 11 OCT

10h00-14h30   
Regional Preparatory Meeting: Eastern European States (CLOSED)

### NOVEMBER


1-5 NOV

**COP-4.1  
ONLINE  
SEGMENT**

15-19 NOV (TBC)

TIME: TBC   
Hg emission from coal

MON 22 NOV

09h00-10h00   
15h00-16h00  
40 Days to go on National Reports: Check-in on any questions

## 4. Q&A





MINAMATA  
CONVENTION  
ON MERCURY

# Thank you for your attention

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Secretariat of the Minamata Convention on Mercury  
United Nations Environment Programme

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WEB: [www.mercuryconvention.org](http://www.mercuryconvention.org)  
MAIL: [MEA-MinamataSecretariat@un.org](mailto:MEA-MinamataSecretariat@un.org)  
TWITTER: [@minamataMEA](https://twitter.com/minamataMEA)  
[#MakeMercuryHistory](https://twitter.com/minamataMEA)